


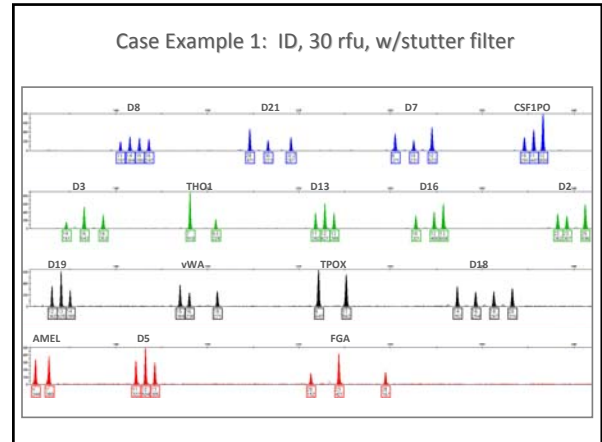

 21st International Symposium on Human Identification
Mixture Interpretation Workshop:
 Principles, Protocols, and Practice
 October 11, 2010 – San Antonio, TX



Case Example #1

Robin W. Cotton

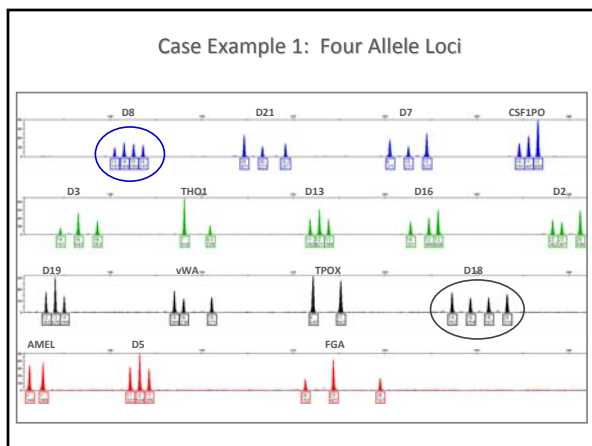


This sample is...?

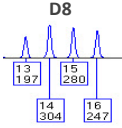
- Single-source
- Mixture of DNA from 2 people
- Mixture of DNA from at least 2 people
- Mixture of DNA from 3 or more people
- The evidence profile + my profile

The statement "This is a mixture of DNA from 2 people" is....

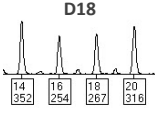
- True
- A good starting point for the analysis
- Only an assumption
- Both 2 & 3
- The answer the investigator is hoping for



Beginning with the four allele loci and assuming two contributors can we estimate the ratio of the two contributors?



D8



D18


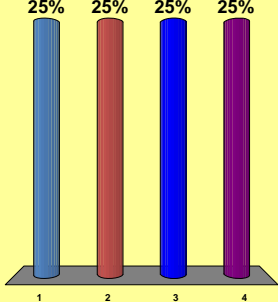
Possible genotype combinations → 13,14 + 15,16 & 14,16 + 18,20
 13,15 + 14,16 & 14,18 + 16,20
 13,16 + 14,15 & 14,20 + 16,18

Example: $(rfu\ 13 + rfu\ 14) \div \text{total rfu} = \text{proportion of contributor 1}$
 $(197 + 304) \div 1028 = 0.49$

Proportion of Total rfu → 0.49 or 0.46 or 0.43 \approx 0.49 or 0.48 or 0.44

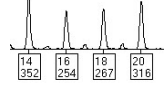
The average proportions of 2 contributors = 0.46 : 0.54.
Is there a distinguishable major contributor to this profile?

1. Yes
2. No
3. Not sure
4. I need my computer

Consider peak height ratio at the 4 allele loci:

D18



possible pairs

- 1) 14,16 + 18,20
- 2) 14,18 + 16,20
- 3) 14,20 + 16,18

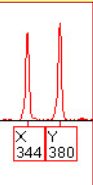
Peak height ratios

- 1) 0.72 & 0.84
- 2) 0.76 & 0.80
- 3) 0.90 & 0.95

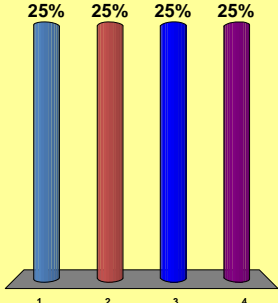
Based on the allowed peak height ratio, all three pairs of genotypes are possible.

Cannot restrict the possible combinations of genotypes

Assuming two contributors, the gender of the two contributors is likely...?

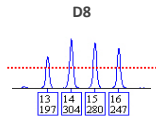


1. Male + male
2. Male + Female
3. Probably M/M but cannot be certain
4. Can't know



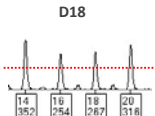
Combined Probability of Exclusion

D8



ST = 150 RFU

D18



$$PI = (p + q + r + s)^2$$

$$PI = (\text{freq } 13 + \text{freq } 14 + \text{freq } 15 + \text{freq } 16)$$

$$PI = (0.305 + 0.166 + 0.114 + 0.031)^2$$

$$PI = (0.616)^2$$

$$PI = 0.379$$

$$PI = (p + q + r + s)^2$$

$$PI = (\text{freq } 14 + \text{freq } 16 + \text{freq } 18 + \text{freq } 20)$$

$$PI = (0.137 + 0.139 + 0.076 + 0.022)^2$$

$$PI = (0.374)^2$$

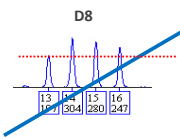
$$PI = 0.140$$

$$CPI = (0.379)(0.140) = 0.053$$

$$CPE = 1 - CPI = 0.947$$

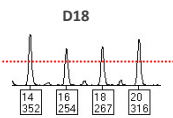
Combined Probability of Exclusion

D8



ST = 200 RFU

D18



$$PI = 1.0$$

$$PI = (p + q + r + s)^2$$

$$PI = (\text{freq } 14 + \text{freq } 16 + \text{freq } 18 + \text{freq } 20)$$

$$PI = (0.137 + 0.139 + 0.076 + 0.022)^2$$

$$PI = (0.374)^2$$

$$PI = 0.140$$

$$CPI = (1.0)(0.140) = 0.140$$

$$CPE = 1 - CPI = 0.86$$

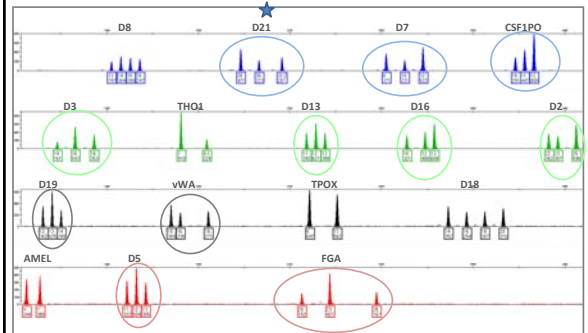
What do we know so far?

- Mixture of DNA from 2 or more contributors
- No evidence of degradation in the profile
- A few peaks are above analytical threshold, but below stochastic threshold
- There are no relatively small (minor) peaks except those in stutter positions
- No indication of a third contributor
 - (consider the points shown in grey above)

What do we know so far? Cont.

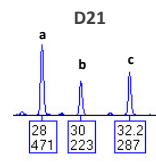
- If two contributors, the proportion of contributor 1 ≈ 0.46 and contributor 2 ≈ 0.54
- Using a stochastic threshold of:
 - 150 rfu, there are **no** peaks below the threshold
 - 200 rfu, there are **4** peaks below the threshold
 - 250 rfu, there are **8** peaks below the threshold

Case Example 1: Three Allele Loci



How will we analyze?

- Assume two contributors at a ratio of $\approx 1:1$.
- List possible contributing genotypes.
- List possible pairs of contributing genotypes.
- Calculate the resulting peak height ratios.
- Use $\frac{1}{2}$ rfu in this calculation when a peak would be shared between the two contributing genotypes.
 - (use $\frac{1}{2}$ for ease of calculation today, could use range of proportions based on profile data)
 - For this exercise we are rounding the proportion of 0.46 and 0.54 to 0.5 and 0.5 $\approx 1:1$.



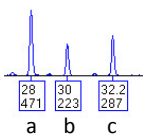
Possible Genotype Combinations

a, a + b, c	28, 28 + 30, 32.2
a, b + a, c	28, 30 + 28, 32.2
b, b + a, c	30, 30 + 28, 32.2
a, b + b, c	28, 30 + 30, 32.2
c, c + a, b	32.2, 32.2 + 28, 30
a, c + b, c	28, 32.2 + 30, 32.2

Possible Genotypes
 a, a
 a, b
 a, c
 b, b
 b, c
 c, c

Can we rule out any of these combinations by looking at **peak height ratio** and incorporating the **estimated ratio of contributors** using the two contributor assumption?

D21



Peak Height Ratios

$$30, 32.2 = 0.78 \text{ \& } 28, 28$$

$$\frac{1}{2}(28), 30 = 0.95 \text{ \& } \frac{1}{2}(28), 32.2 = 0.82$$

$$28, 32.2 = 0.61 \text{ \& } 30, 30$$

$$28, \frac{1}{2}(30) = 0.47 \text{ \& } \frac{1}{2}(30), 32.2 = 0.39$$

$$28, 30 = 0.47 \text{ \& } 3.2, 32.2$$

$$28, \frac{1}{2}(32.2) = 0.31 \text{ \& } 30, \frac{1}{2}(32.2) = 0.65$$

Possible Genotype Combinations

- 28, 28 + 30, 32.2 •
- 28, 30 + 28, 32.2 •
- 30, 30 + 28, 32.2 •
- 28, 30 + 30, 32.2 •
- 32.2, 32.2 + 28, 30 •
- 28, 32.2 + 30, 32.2 •

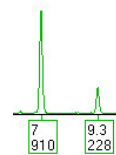
28, 28 + 30, 32.2

28, 30 + 28, 32.2

~~30, 30 + 28, 32.2~~

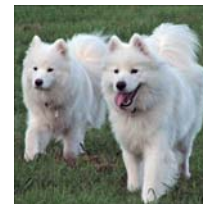
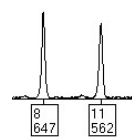
Possible Genotype Combinations

TH01



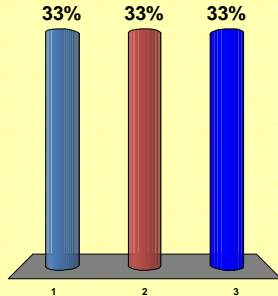
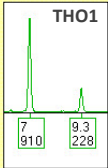
Two allele loci:

TPOX



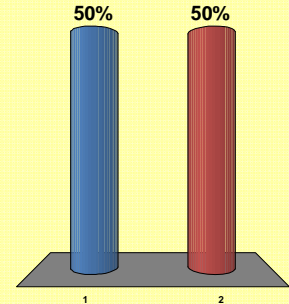
Based on the information we have thus far, which possibility do you like most for the contributor genotypes?

1. 7, 7 + 9.3, 9.3
2. 7, 9.3 + 7, 7
3. 7, 7 + 9.3, X



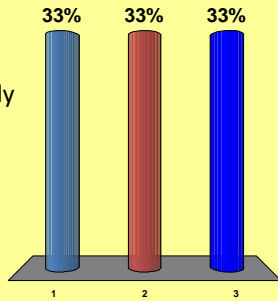
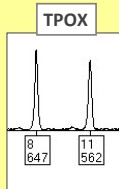
Did you use the information about contributor ratio to help answer this question?

1. YES
2. NO



Which possibility do you like most for the contributor genotypes?

1. 8, 11 + 8, 11
2. 8, 8 + 11, 11
3. Both 1 & 2 are equally possible

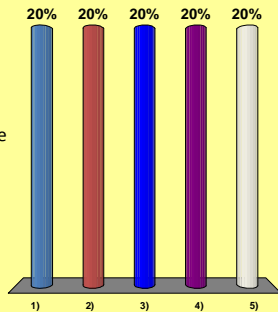


Deducing a Second Contributor

If we want to deduce a second contributor, what do we need in order to do this?



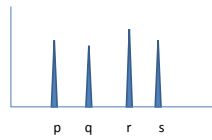
- 1) A magician
- 2) An intimate sample from one contributor
- 3) A known profile from the same contributor
- 4) 2 and 3
- 5) None of the above



Assuming **two** contributors and one of them is **known and assumed** to be in the mixture:

- 4 alleles observed:
 - Known is heterozygous → deduce 2nd person
- 3 alleles observed:
 - Known is homozygous → deduce 2nd person
 - Known is heterozygous → ? Have obligate allele of 2nd person
- 2 alleles observed:
 - Known is homozygous → ? Have obligate allele of 2nd person
 - Known is heterozygous → May have no information

Four allele locus, assume two contributors:

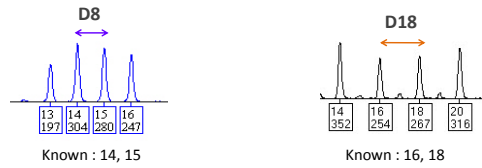


4 alleles are observed:

Known is heterozygous — deduce 2nd person

Known is: p, q — 2nd person is: r, s

Four Allele Loci



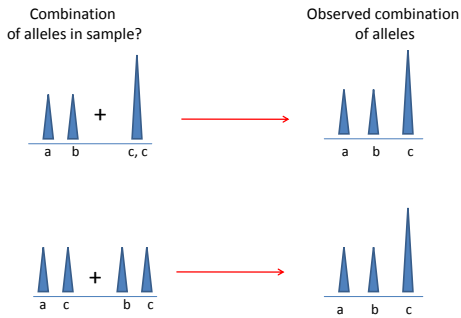
Can calculate the proportion of this contributor

Known Proportion = 0.57 Known proportion = 0.44

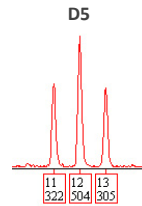
Deduced 2nd contributor: Deduced 2nd contributor:

13, 16 14, 20

Three allele loci, assume 2 contributors and approximately 1:1 mixture



Three allele loci: known homozygous



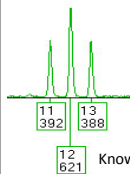
Deduced 2nd contributor = 11, 13

Peak height ratio (11, 13) = 0.94 &
Contributor ratio = 0.45

Contributor ratio ~ 1:1
Known : 12, 12

Easy!!

D 13 Three allele loci: Known heterozygous

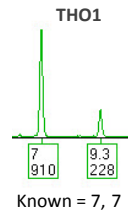


Contributor ratio ~ 1:1
Obligate allele of 2nd contributor = 13
13, 13
Second contributor = 11, 13
12, 13

known	2 nd	PR (K)	PR(2 nd)	Proportion K & 2 nd
11, 12	13, 13	0.63	NA	0.72 & 0.28
11, 12	11, 13	0.32	0.51	0.58 & 0.42
11, 12	12, 13	0.79	0.80	0.50 & 0.50

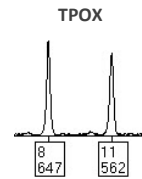
Used 1/2(rfu) as estimate for shared allele rfu in this example.

Two allele loci:



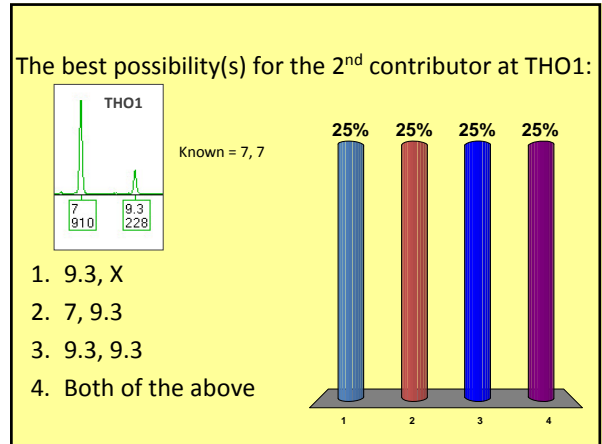
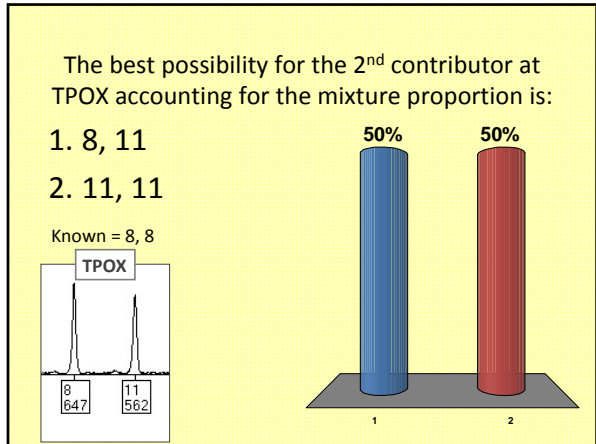
Known = 7, 7

Second contributor = ?



Known = 8, 8





Deducing a Second Contributor

- Need a specified process that takes into account mixture proportion data from the profile and the range of allowable peak height ratio
- Computer processes are needed and available to test